

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

BASF CORPORATION

2. _____
Name of assignee
CORPORATION

Type of assignee, e.g., corporation, partnership, university, government agency, etc.

PERSON(S) AUTHORIZED TO SIGN

3. Anne Gerry Sabourin, 33,772 ; Mary E. Golota, 36,814; Michael F. Morgan, 42,906
(type name of person authorized to sign on behalf of assignee)
Managing Counse; Senior Attorney; Attorney
Title of person authorized to sign

(complete the following, if applicable)

☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

A.

1. ☐ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at

Reel _____, Frame _____.

2. ☒ An assignment (document) separately being submitted for recordal herewith.

AND/OR

B.

1. ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: _____
Name of inventor(s)
To: _____

Recorded in PTO:
Reel _____ Frame _____

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]--page 2)

202506-011702

(check item below, and add details, if applicable)

☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

COPIES OF DOCUMENTS IN CHAIN OF TITLE

(complete this item, if copies are being sent)

☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

☐ A

☐ 1

☐ 2

☐ B

☐ 1.

☐ 2

☐ 3.

Reg. No: 33,772


SIGNATURE OF ATTORNEY

Anne Gerry Sabourin
Type or print name of attorney

Tel. No.: (248) 948-2021

BASF Corporation
26701 Telegraph Road
P.O. Address

Southfield, Michigan 48034-2442

Customer No. 26922

20250917 10:50:00

PATENT

(Practitioner's Docket No. IN-5421)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Valerie L. CRUMLEY, Patricia G.
O'CALLAGHAN and Timothy P.
KANDOW

US Serial No. Herewith

Group Art Unit: Not Assigned

Filed: Thursday, January 17, 2002

Examiner: Not Assigned

For: BASECOAT COATING COMPOSITION HAVING LOW VOLATILE ORGANIC
CONTENT AND COMPOSITE COATING PREPARED THEREFROM

Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EH799045256US Date of Deposit January 17, 2002

I hereby certify that the following attached paper or fee

☒ Application

Specification on 28 pages; claims on 07 pages;
the abstract on 01 page(s); drawings on page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)


Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

2002 JAN 17 10 30 AM '02

Please type a plus sign [+] inside this box →



DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with initial Filing or <input type="checkbox"/> Declaration Submitted after initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket No.	IN - 5421
	First Named Inventor	Valerie L. CRUMLEY et al.
	COMPLETE IF KNOWN	
	Application Number	
	Filing Date	Thursday, January 17, 2002
	Group Art Unit	
Examiner Name		

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

BASECOAT COATING COMPOSITION HAVING LOW VOLATILE ORGANIC CONTENT AND COMPOSITE COATING PREPARED THEREFROM

(Title of the Invention)

The specification of which:

☒ is attached hereto

☐ Was filed on _____ as United States Application or PCT International Application Number / _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application

I hereby claim foreign priority benefits under 35, U.S.C § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365 (a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate, or any PCT international application(s) having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date DATE/MONTH/YEAR	Priority Not Claimed	Certified Copy Attached?	
			<input type="checkbox"/>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/>	<input type="checkbox"/> Yes	<input type="checkbox"/> No

☐ Additional foreign application number are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C 119(e) of any United States provisional application(s) listed below:

APPLICATION NUMBER(S)	FILING DATE	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

County	United States of America	Telephone	(248) 948-2021	Fax	(248) 948-2093
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2005-01-17 10:56:05

DECLARATION —

Utility or Design Patent Application

POWER OF ATTORNEY

☒ I hereby appoint the practitioner(s) associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith

☒ Customer Number or Bar Code Label



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or ☐ Correspondence address below

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Valerie L.		Family Name or Surname		CRUMLEY	
Inventor's Signature		<i>Valerie L. Crumley</i>		Date		<i>January 15, 2002</i>	
Residence: City	Monroe	State	Michigan	Country	United State of America	Citizenship	American
Mailing Address	2847 North Custer						
City	Monroe	State	Michigan	Zip	48162		

DECLARATION —

Utility or Design Patent Application

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☒ I hereby appoint the practitioner(s) associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

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Name OF SECOND NVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any]) Patricia G.				Family Name or Surname O'CALLAGHAN			
Inventor's Signature <i>Patricia G. O'Callaghan</i>				Date <i>January 15, 2002</i>			
Residence: City	Northville	State	Michigan	Country	United State of America	Citizenship	American
Mailing Address		20985 Woodland Glen Drive, Apartment Number 201					
City	Northville	State	Michigan	Zip	48167		

DECLARATION —

Utility or Design Patent Application

POWER OF ATTORNEY

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Name OF ADDITIONAL NVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any)) Timothy P.				Family Name or Surname KANDOW			
Inventor's Signature <i>[Signature]</i>				Date <i>January 15, 2002</i>			
Residence: City	Howell	State	Michigan	Country	United State of America	Citizenship	American
Mailing Address		1987 Sundance Ridge					
City	Howell	State	Michigan	Zip	48843		